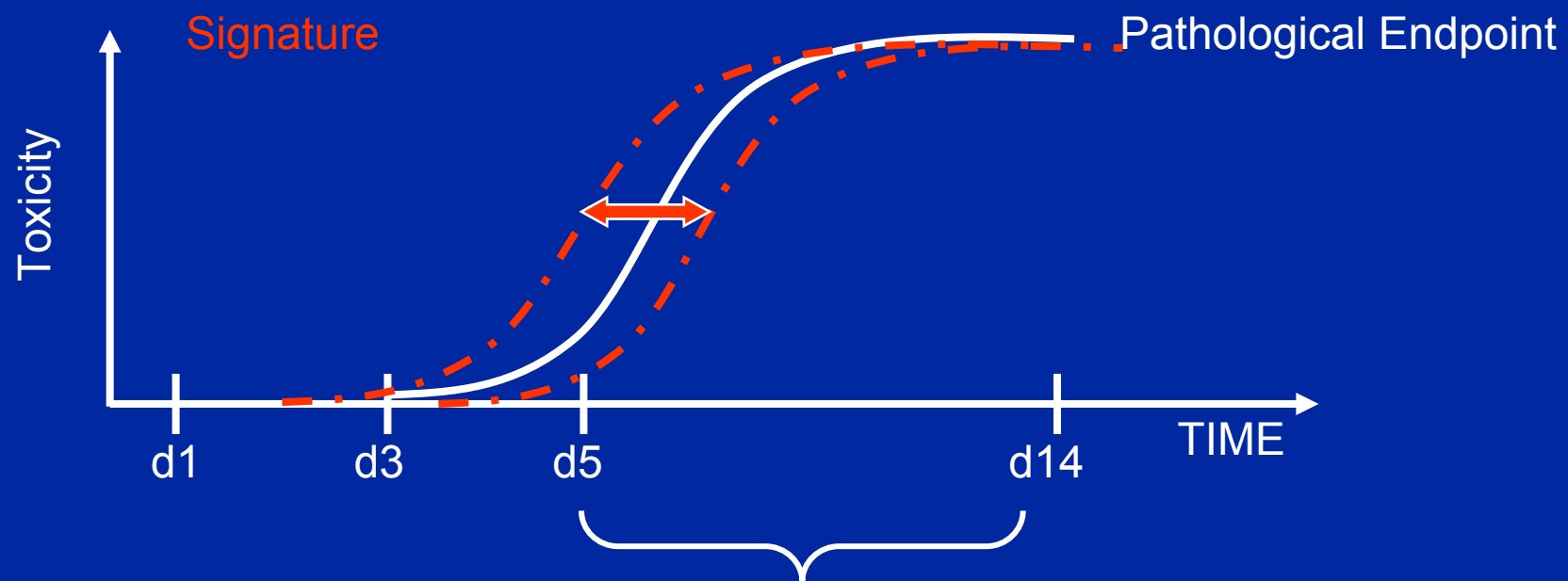

Characteristics of In Vivo and In Vitro Toxicogenomic Signatures Predictive of Toxicological Outcomes

**Mark Fielden, Ph.D., DABT
Roche Palo Alto LCC**

What is a Signature?

- A method of identifying the current (diagnostic) or future (predictive) phenotype induced by a compound based on multivariate data (i.e. genes)
 - In vivo: compound-dose properties (dose matters)
 - In vitro: compound properties (hazard identification)
- Typically a multivariate classification model, but may also be a profile

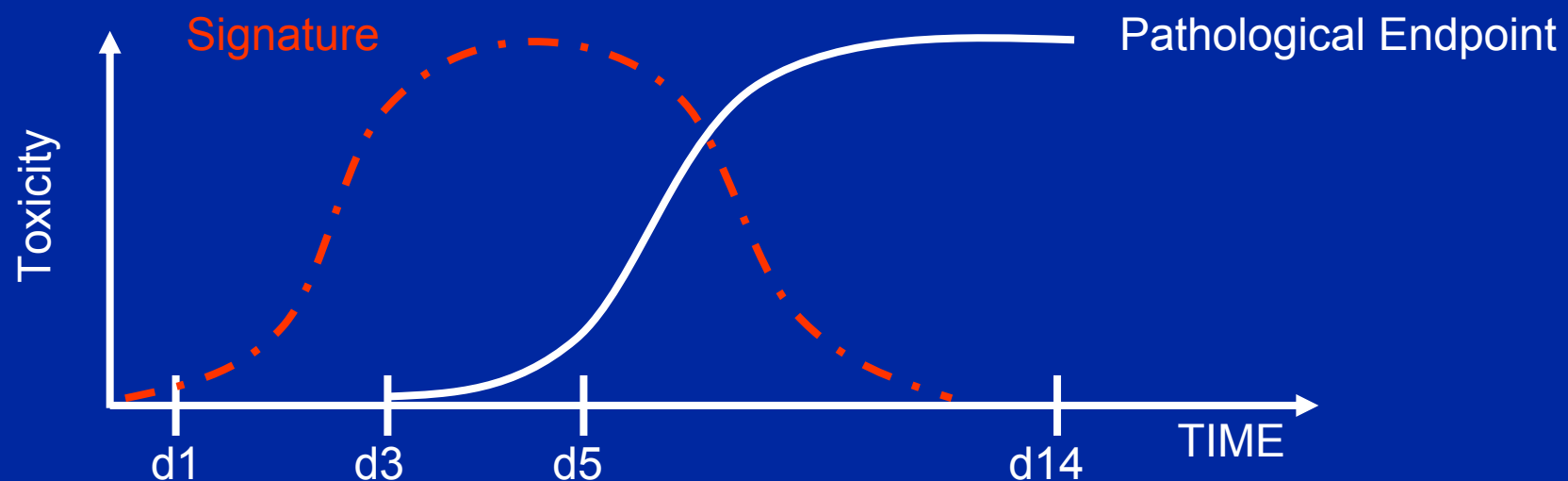
Diagnostic Signatures – Correlates with Injury, but May Have Some Predictive Utility



Biomarker coincides with injury - may be more sensitive than apical endpoint and thus predictive

Typically trained on late timepoint data when injury is observed

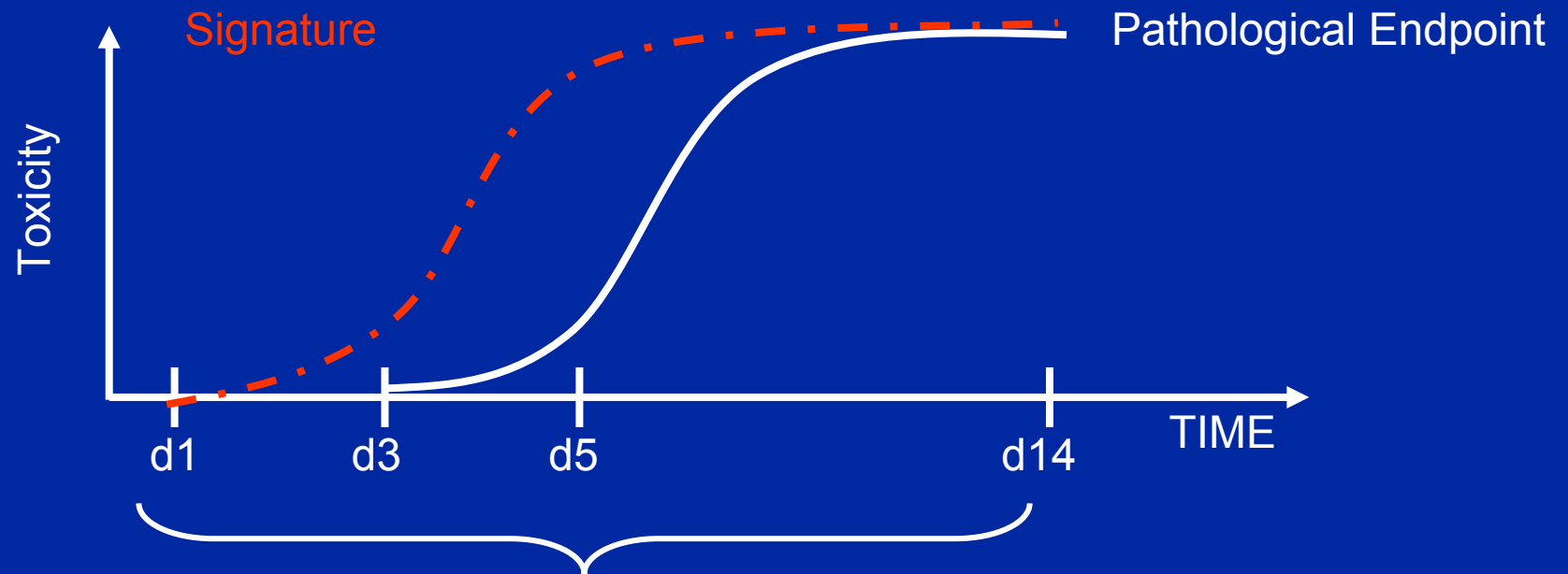
Predictive Signatures – Precedes Injury



Typically trained on early timepoint data before injury is observed

Biomarker precedes injury and may decrease upon presence of injury

Predictive Signatures – Precedes and Correlates with Injury



Typically trained on early and late timepoint data

Biomarker precedes injury and is sustained during injury, resolves as injury

Signatures: Classifiers and Profiles

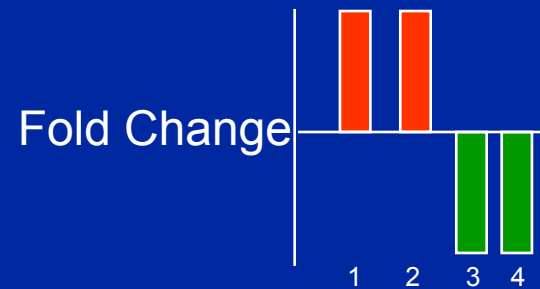
Linear Classification Model:

$$Y = cX_1 + cX_2 + cX_3 + cX_4 + B$$

Non-Linear Classification Model:

$$Y = cX_1^{1/2} + X_2^3 + (X_3 \cdot X_4) + B$$

Profile (or Pattern):



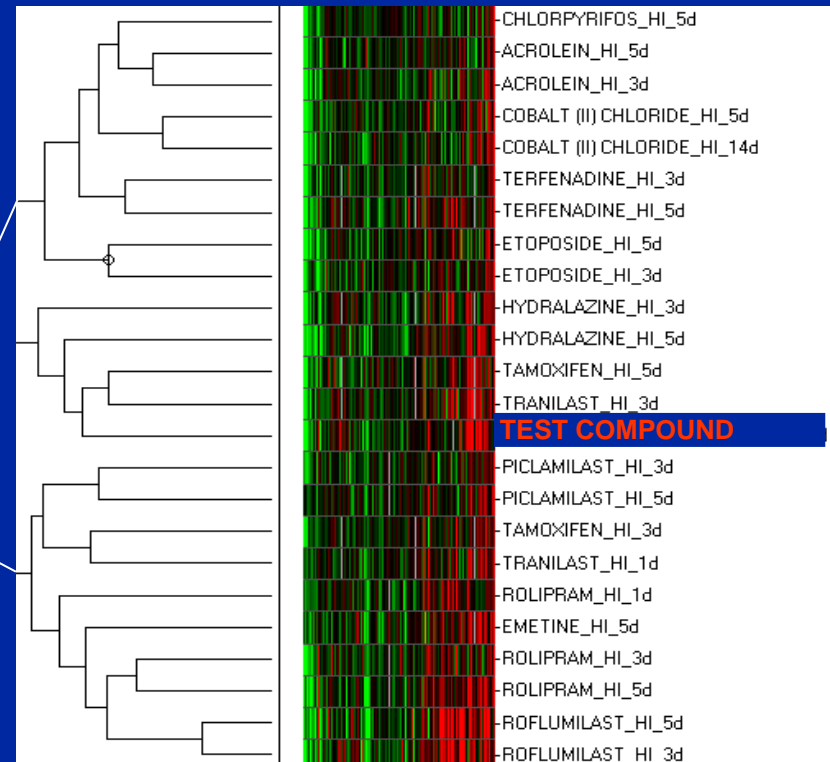
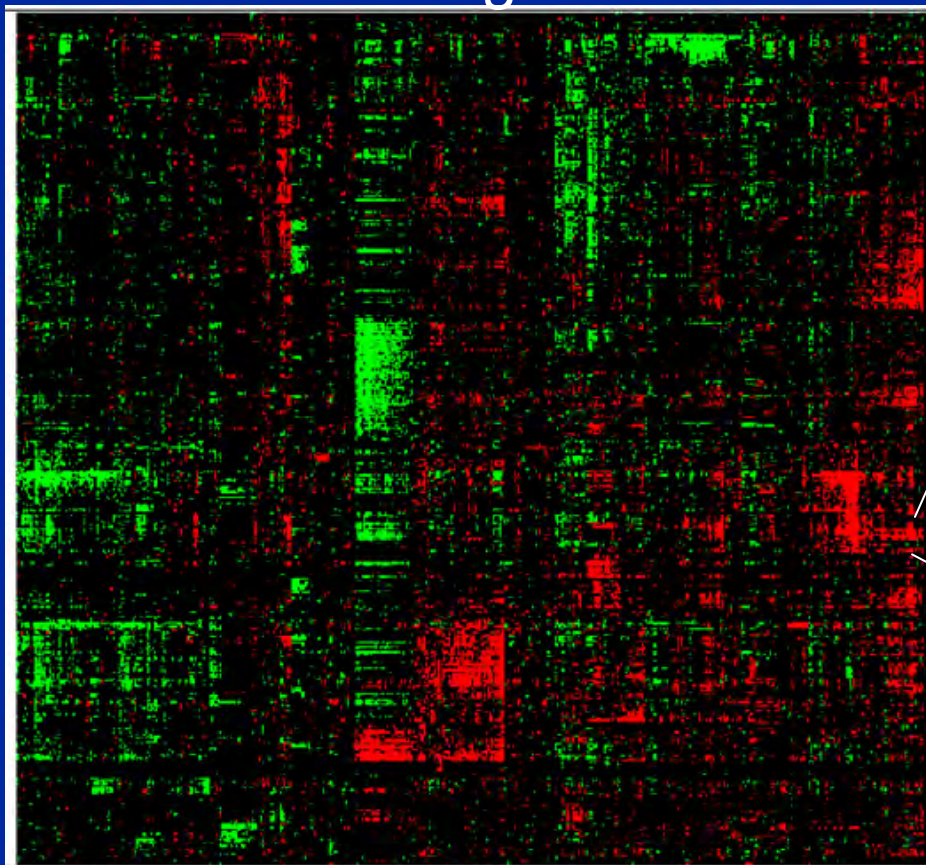
Biomarker:



Why Signatures?

1000's genes

1700 liver experiments



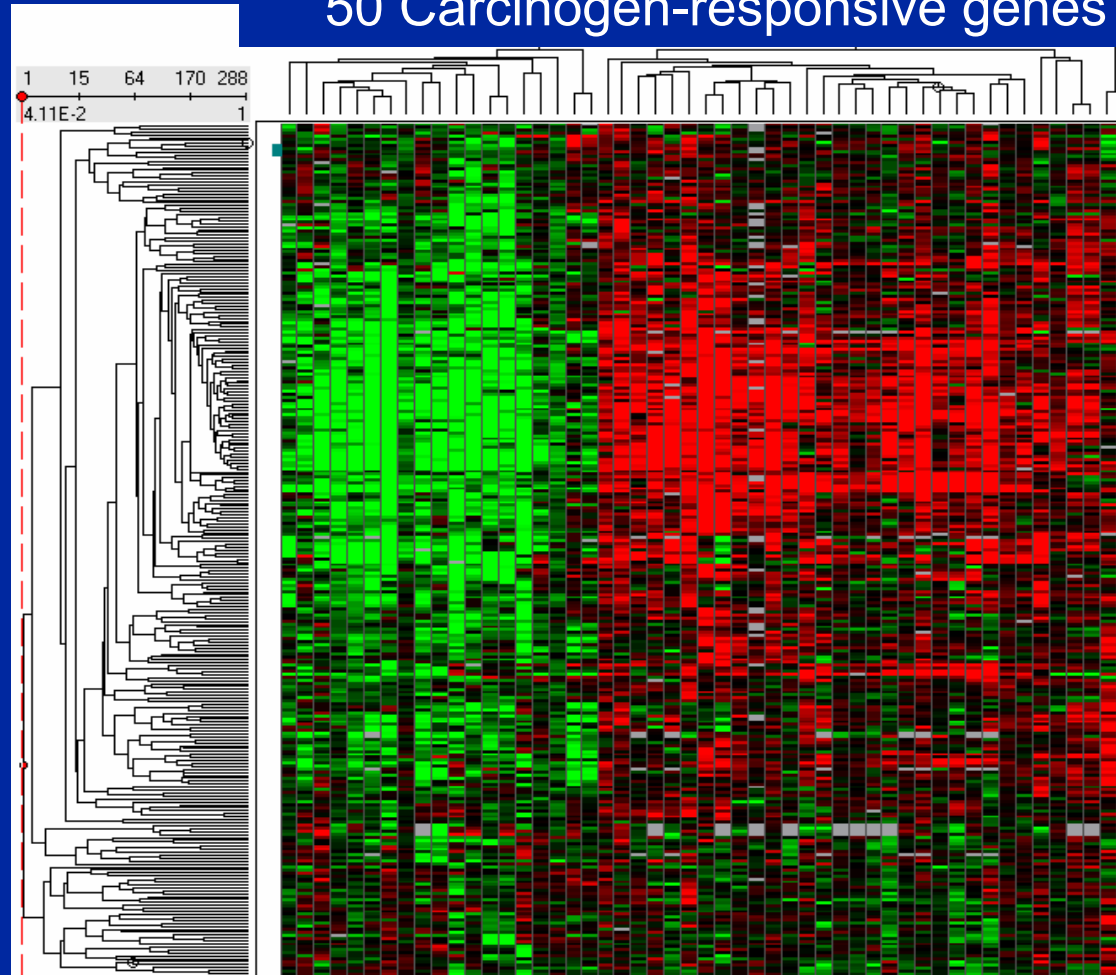
Unsupervised methods are not designed for class identification, but rather class discovery



Unsupervised Methods do not Classify Complex Phenotypes, like Pathology, Very Well

288 High dose 5 or 7-day
liver experiments

50 Carcinogen-responsive genes

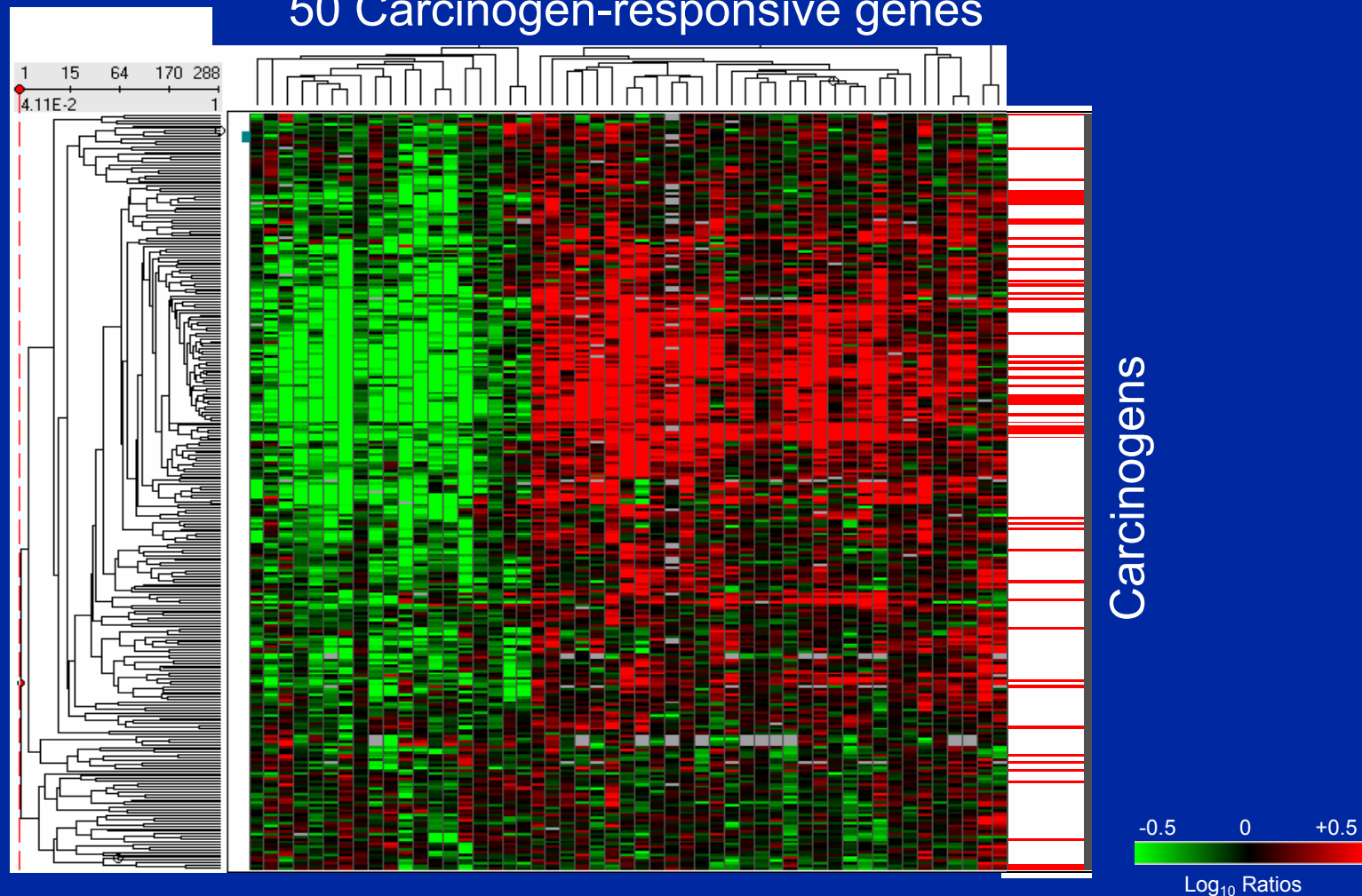


-0.5 0 +0.5
 Log_{10} Ratios

Unsupervised Methods do not Classify Complex Phenotypes, like Pathology, Very Well

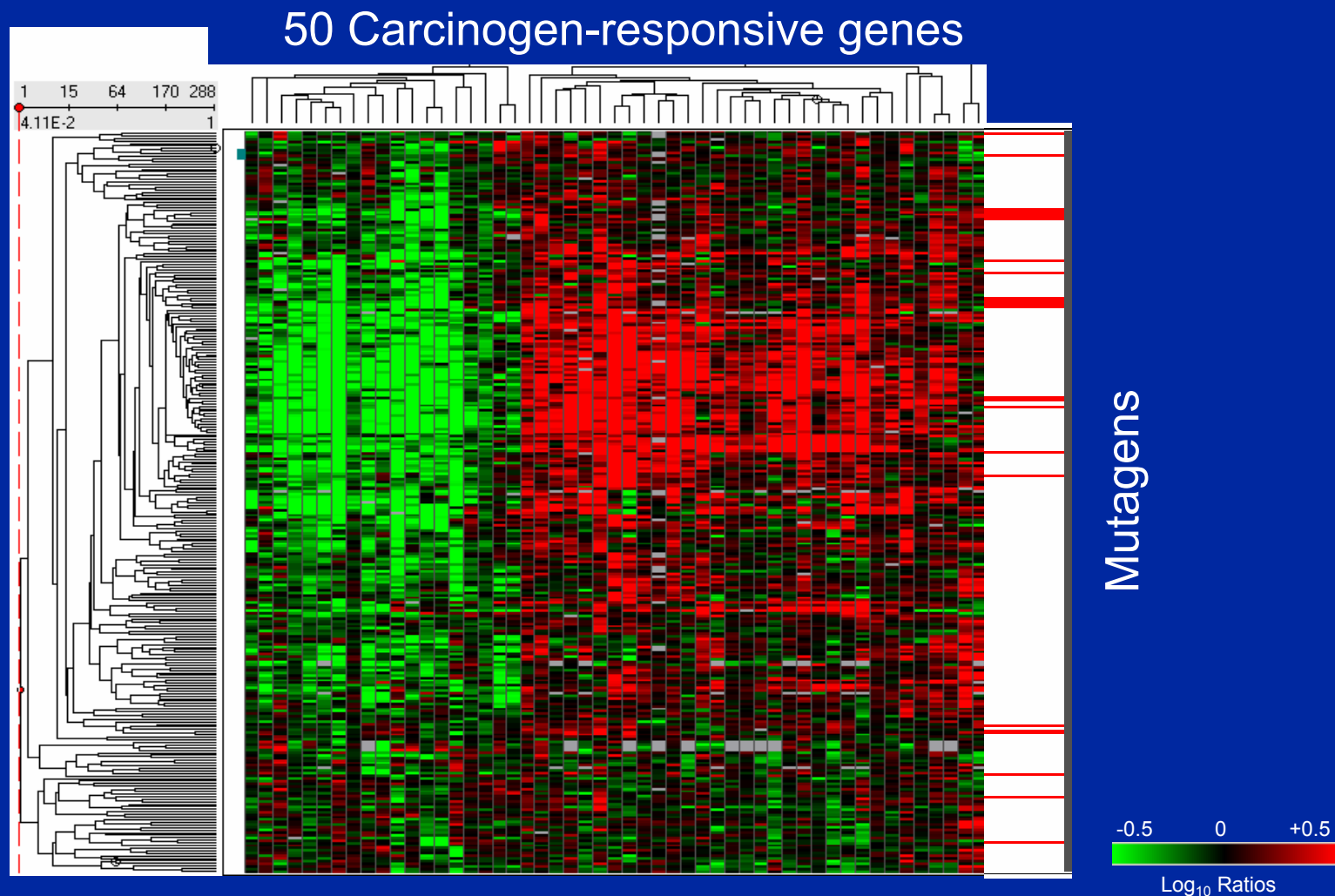
288 High dose 5 or 7-day
liver experiments

50 Carcinogen-responsive genes



Unsupervised Methods do not Classify Complex Phenotypes, like Pathology, Very Well

288 High dose 5 or 7-day
liver experiments

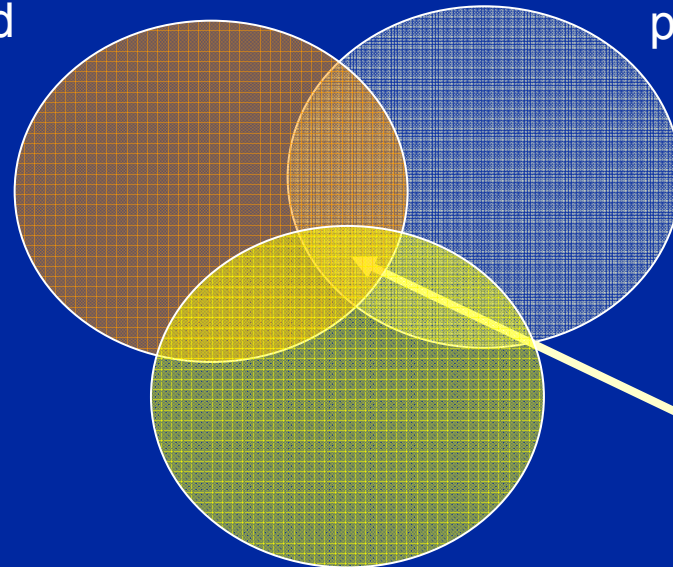


Traditional Biomarker Discovery Approach



Class A treatment
perturbed
genes

Class B treatment
perturbed
genes



Class C treatment
perturbed genes

Single predictive
biomarker that captures all
possibilities

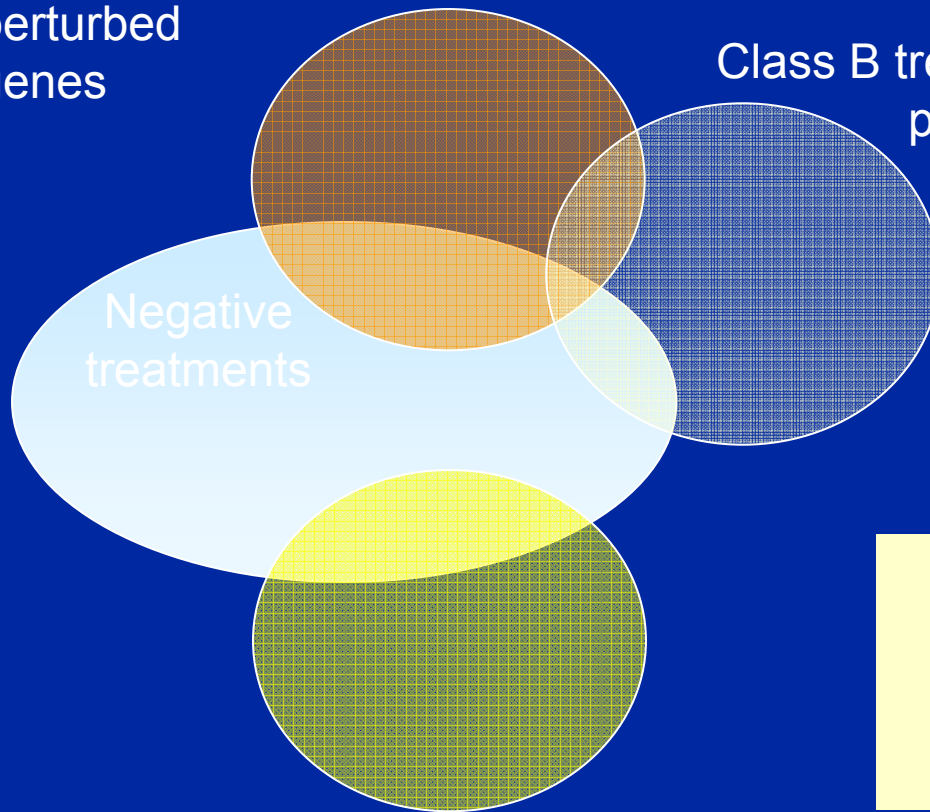
Contemporary Biomarker Discovery Approach



Class A treatment
perturbed
genes

Class B treatment
perturbed
genes

Negative
treatments

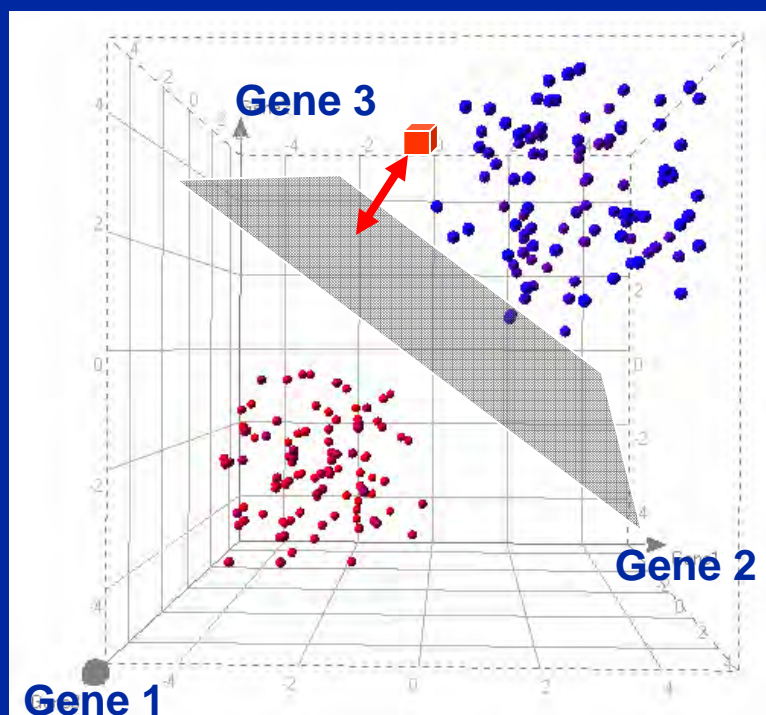


Class C treatment
perturbed genes

Single biomarker genes do not
adequately represent the
heterogeneous etiology of
pathology

Linear Classification Algorithms

Algorithm attempts to find a linear separation between two classes in multi-dimensional gene space



- Log ratios for genes : $x_1, x_2 \dots x_n$
- Associated weights : $a_1, a_2 \dots a_n$

$$S = \sum a_i x_i - b$$

S = Scalar Product and b = Bias

Interpretation:

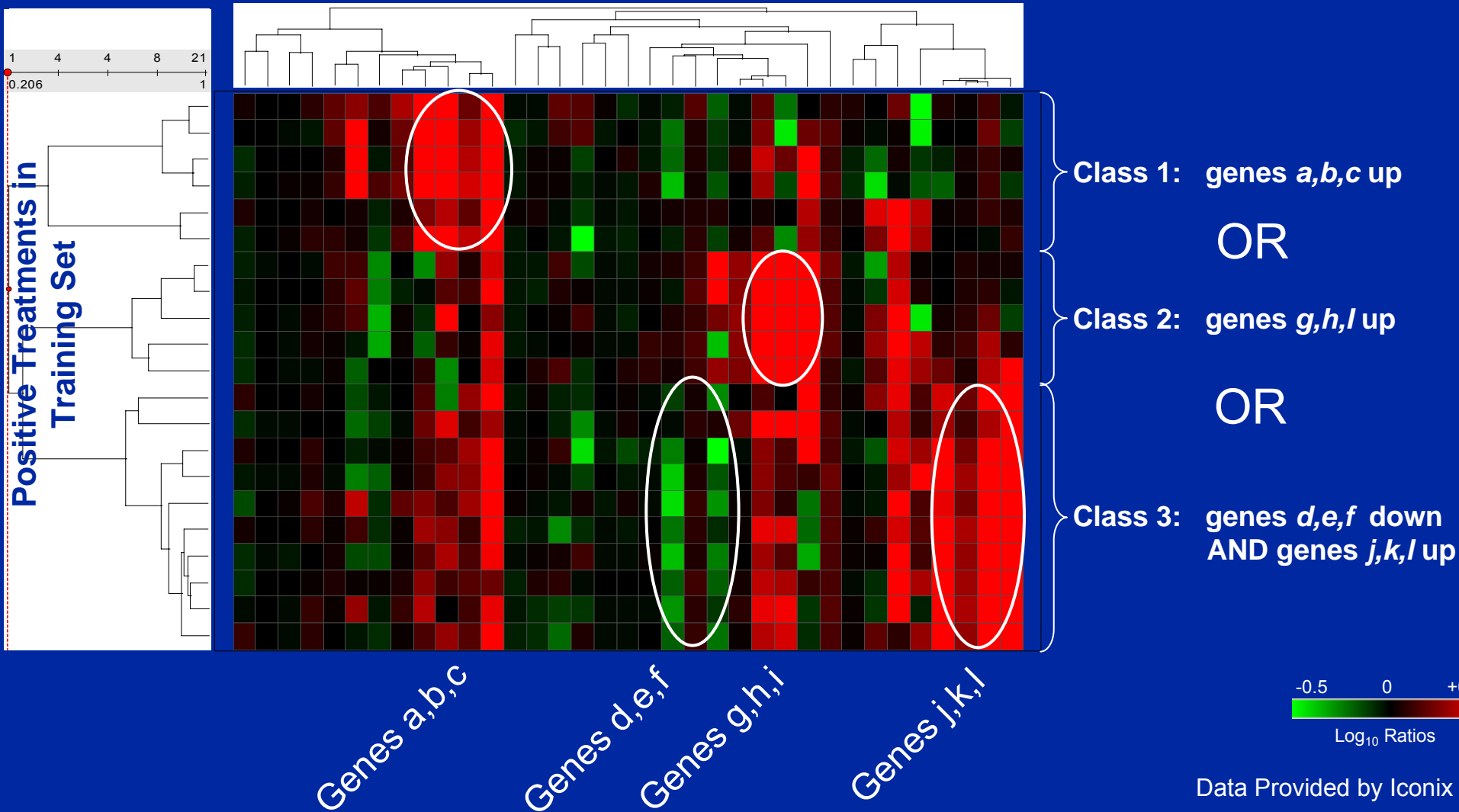
If $S > 0$ = True (in class)

If $S < 0$ = False (not in class)

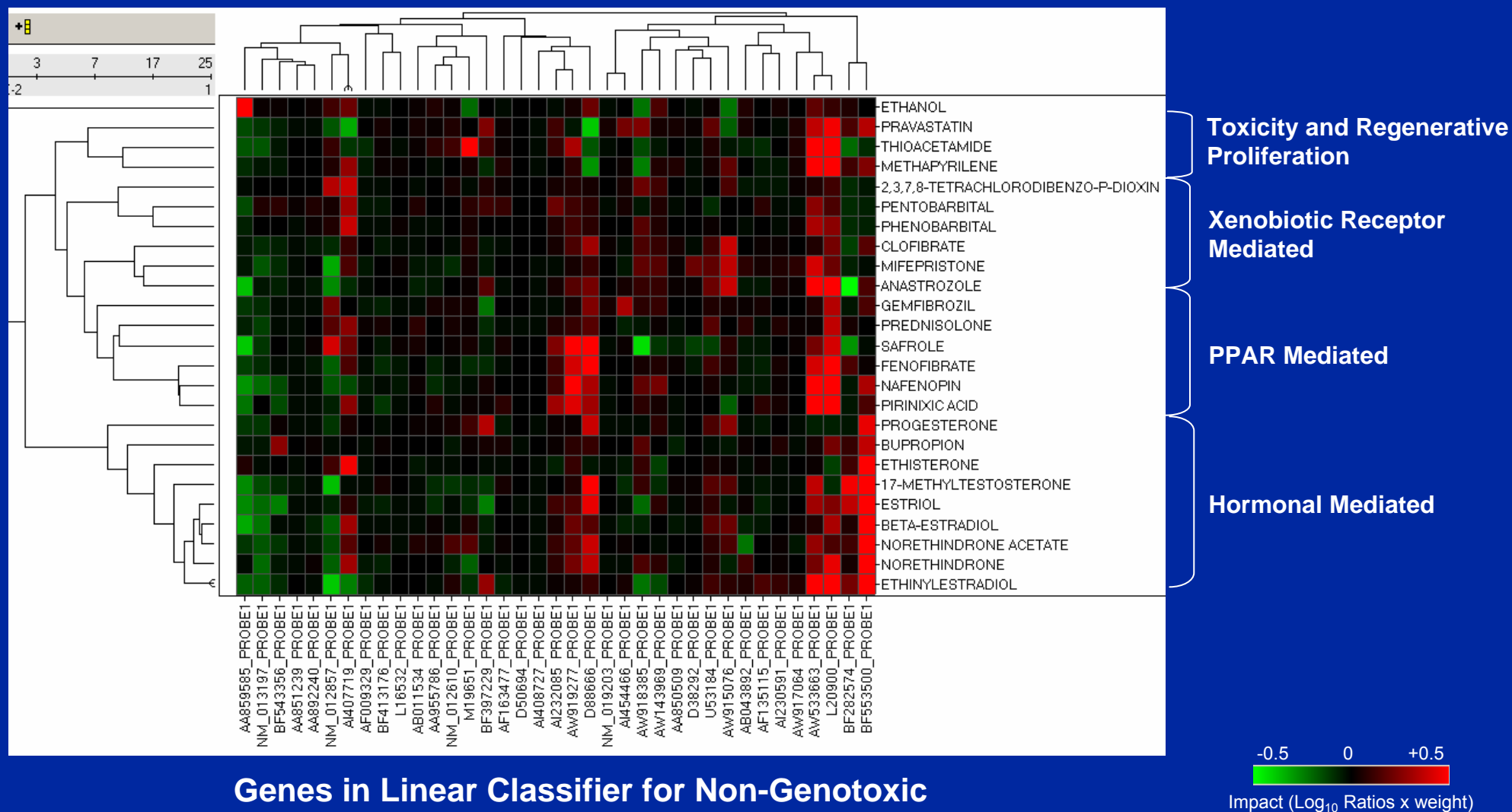
Weights determine the orientation of the hyperplane,
Bias determines the position along the axis

Linear Classifiers Use Multiple Genes to Account for Heterogeneous Classes

Genes in Linear Classifier for Liver Apoptosis

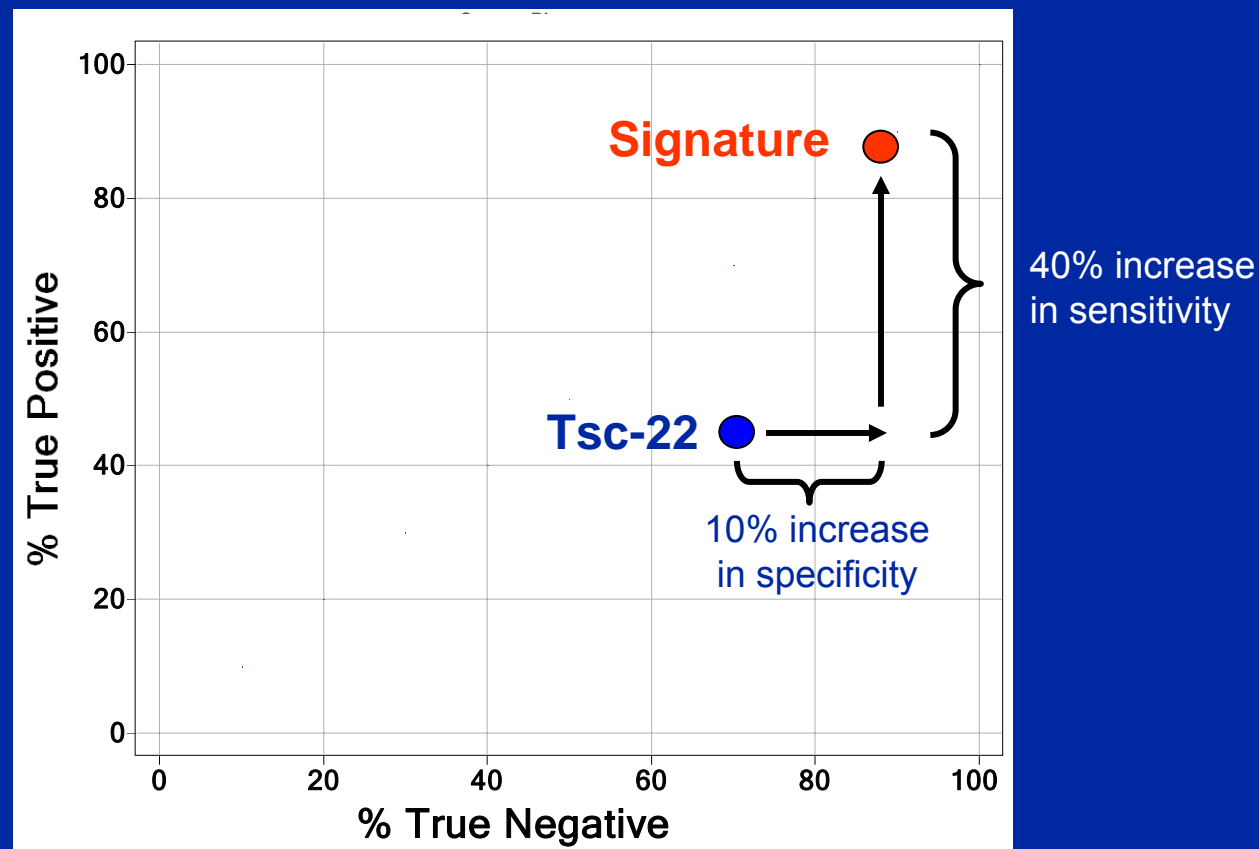


Chemicals with Similar Mechanism of Action Have Similar Profiles Based on Clustering of Genes



Increased Accuracy of Multi-Gene Models vs Single Genes for Prediction

Signature to predict non-genotoxic hepatotumorigens



Based on independent test against 47 compounds

Anchoring Gene Expression Data to an Endpoint of Interest

- **Intrinsic Endpoints**

- Expression data anchored to phenotype measured in the same sample

In vivo: histopathology, clinical chemistry, organ weight, etc

In vitro: biochemical or structural change, cell size, shape, etc

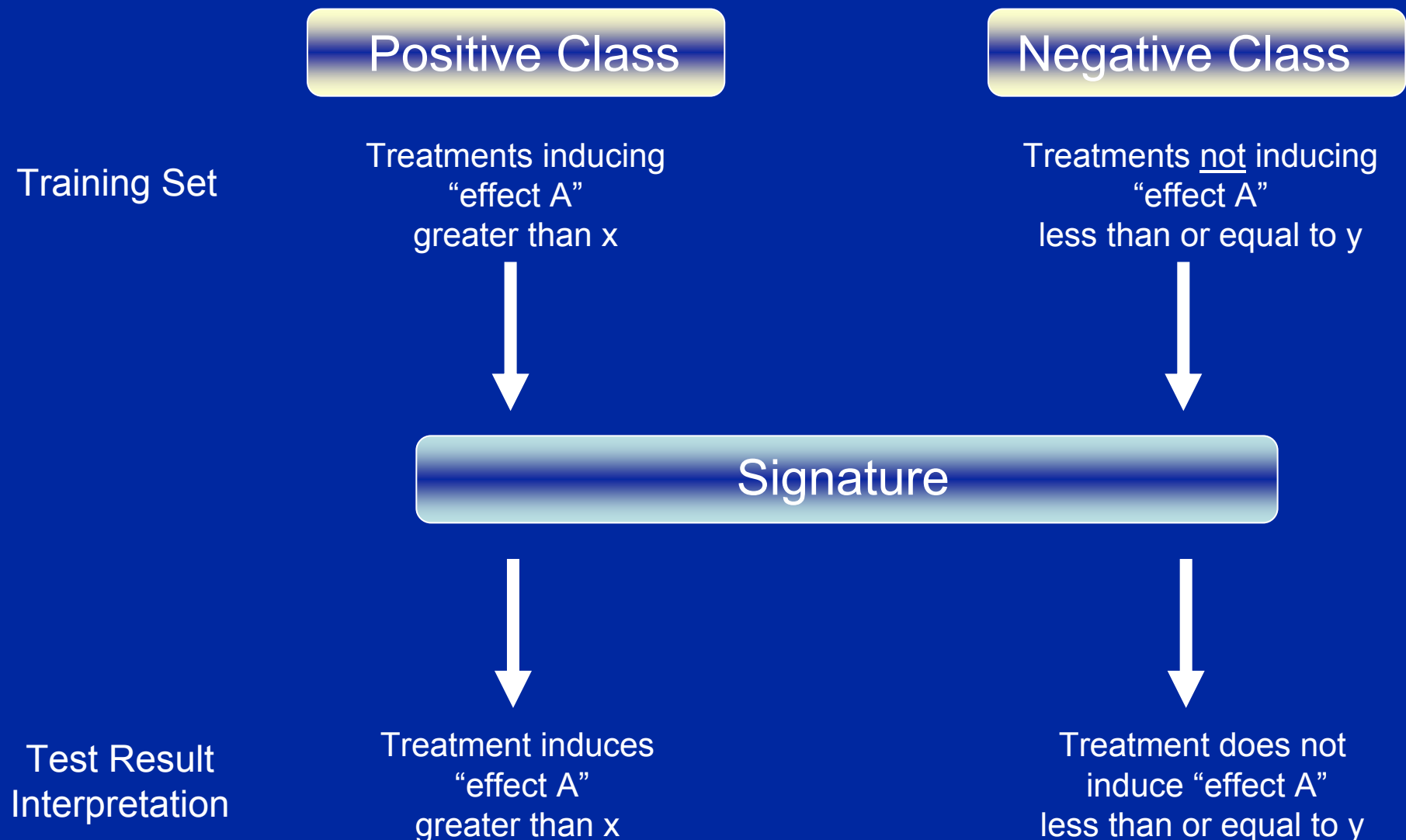
- **Extrinsic Endpoints**

- Expression data anchored to phenotype of treatment or compound determined elsewhere (i.e. literature)

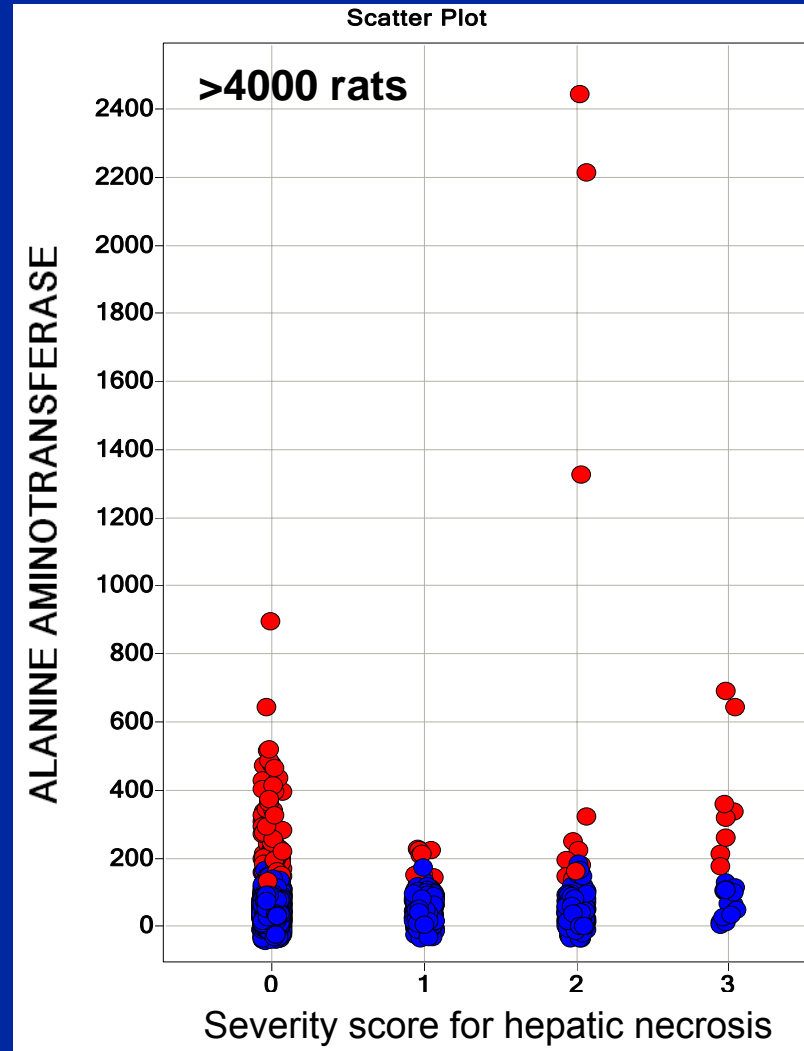
In vivo: carcinogenicity, pharmacology

In vitro: phospholipidosis, cholestasis, DNA damage

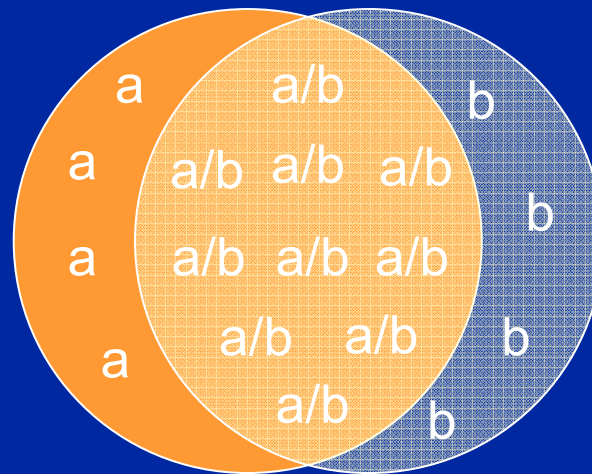
Classification Rules Determine Sensitivity and Specificity of Biomarker



When Signatures Aren't What You Think They Are: Check your Classification Rule



When Signatures Aren't What You Think They Are: Check your Training Set for Confounding Variables



b = Pathology inducers
(Liver tumors)

a = Pharmacological effect
(Nuclear receptor agonist)

Training set may represent distinct but correlated
variables that dominate expression changes

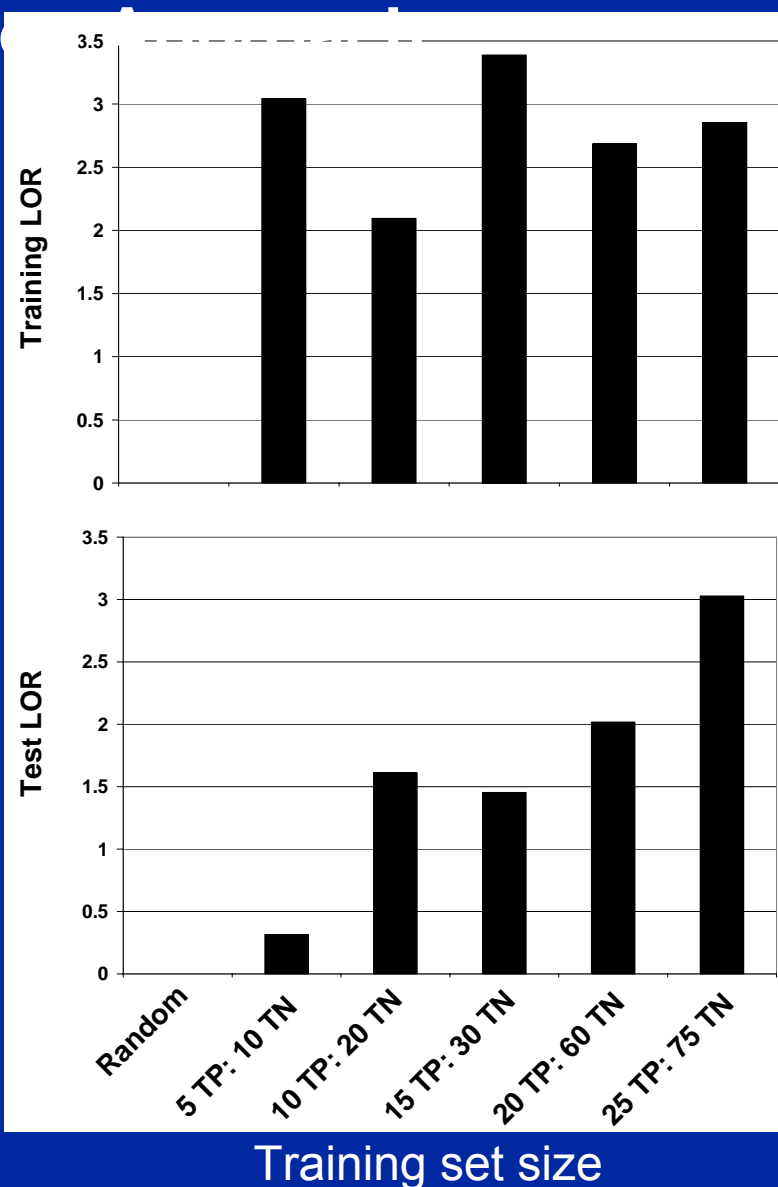
When Signatures Aren't As Good As You Think They Are:

Check your Validation



Log Odds Ratio
Based on Cross Validation

Log Odds Ratio
Based on Independent Validation
(47 chemicals)



Estimated
Accuracy

Real
Accuracy

Final Thoughts

- Concentrate on validation, not discovery
- Don't ignore confounding variables when interpreting data
- Predictor only as good as the training set from which it was derived (Size and diversity matters)
- Like other measured endpoints, predicted effects can be secondary in nature or not treatment related
- Classifier is only as accurate, but not more accurate, than the gold standard to which it is anchored
- Prediction is harder than originally thought
- Be realistic: "All models are wrong, some are useful" – *George Box*